

## KHSAA COMPETITION RULES

### Governing Tennis

*(Adopted by the Board of Control for all play in this sport)  
(Where these rules refer to the Commissioner, they shall refer to the Commissioner of the KHSAA. Sports specific duties may be appropriately delegated to a member of the Commission for the implementation of these rules. See Case Situations for specific rulings and interpretations used to administer these provisions)*

*The tournament rules for Tennis are under revision due to a change in state tournament structure. The final rules will be posted on the KHSAA website when adopted, distributed to the membership, and published in the 2016-17 KHSAA Handbook.*

#### I) ASSIGNMENT OF SCHOOLS

##### A) Alignment of Teams for Postseason Play

The Kentucky High School Athletic Association shall sponsor postseason competition in tennis for boys and girls, provided that ten (10) percent of the member schools declare intentions to participate in said competition. Member schools desiring to participate in KHSAA sponsored postseason competition shall notify the Association office prior to March 1. The Commissioner shall divide the state into geographic sections with the approval of the Board of Control. Newly added or deleted programs will be handled on a case by case basis by the Commissioner's office.

As of August 15, 2015, the alignment for boys' and girls' tennis is as follows:

REGION 1 – Ballard Memorial, Calloway County, Community Christian (Paducah), Fulton City, Graves County, Marshall County, Mayfield, McCracken County, Murray, Paducah Tilghman, St. Mary

REGION 2 – Caldwell County, Christian County, Fort Campbell, Henderson County, Hopkins County Central, Hopkinsville, Lyon County, Madisonville-North Hopkins, Trigg County, Union County, University Heights, Webster County

REGION 3 – Apollo, Butler County, Daviess County, Frederick Fraize, Grayson County, Hancock County, McLean County, Meade County, Muhlenberg County, Ohio County, Owensboro, Owensboro Catholic

REGION 4 – Allen County-Scottsville, Barren County, Bowling Green, Clinton County, Cumberland County, Franklin-Simpson, Glasgow, Greenwood, Logan County, Metcalfe County, Monroe County, Russell County, Russellville, South Warren, Warren Central, Warren East

REGION 5 – Adair County, Bardstown, Bethlehem, Campbellsville, Caverna, Central Hardin, Elizabethtown, Fort Knox, Green County, Hart County, John Hardin, LaRue County, Marion County, Nelson County, North Hardin, Taylor County, Thomas Nelson, Washington County

REGION 6 – Brown, Bullitt Central, Bullitt East, Butler, Central, DeSales, Doss, DuPont Manual, Evangel Christian, Fairdale, Holy Cross (Louisville), Iroquois, Male, North Bullitt, Pleasure Ridge Park, Portland Christian, Presentation, Shawnee, Southern, St. Francis, St. Xavier, Valley, Western

REGION 7 – Assumption, Atherton, Ballard, Christian Academy-Louisville, Eastern, Fern Creek, Jeffersontown, Kentucky Country Day, Louisville Collegiate, Mercy, Moore, Sacred Heart, Seneca, Trinity (Louisville), Waggener, Walden, Whitefield Academy

REGION 8 – Anderson County, Carroll County, Collins, Frankfort, Franklin County, Gallatin County, Grant County, North Oldham, Oldham County, Shelby County, South Oldham, Spencer County, Trimble County, Western Hills, Williamstown

REGION 9 – Beechwood, Boone County, Calvary Christian, Conner, Cooper, Covington Catholic, Covington Latin, Dixie Heights, Holmes, Holy Cross (Covington), Lloyd Memorial, Notre Dame, Ryle, Scott, Simon Kenton, St. Henry District, Villa Madonna, Walton-Verona

REGION 10 – Augusta, Bellevue, Bourbon County, Bracken County, Campbell County, Dayton, George Rogers Clark, Harrison County, Highlands, Mason County, Montgomery County, Newport, Newport Central Catholic, Nicholas County, Paris, Pendleton County, Robertson County, St. Patrick

REGION 11 – Bryan Station, Henry Clay, Lafayette, Lexington Catholic, Lexington Christian, Madison Central, Model, Paul Laurence Dunbar, Sayre, Scott County, Tates Creek, Woodford

County

REGION 12 – Berea, Boyle County, Casey County, Danville, East Jessamine, Garrard County, Lincoln County, Madison Southern, McCreary Central, Mercer County, Pulaski County, Rockcastle County, Somerset, Southwestern, Wayne County, West Jessamine

REGION 13 – Barbourville, Bell County, Clay County, Corbin, Harlan, Harlan County, Knox Central, Lynn Camp, Middlesboro, North Laurel, Pineville, South Laurel, Whitley County, Williamsburg

REGION 14 – Breathitt County, Buckhorn, Estill County, Hazard, Jackson City, Jenkins, June Buchanan, Knott County Central, Lee County, Letcher County Central, Oneida Baptist Institute, Perry County Central

REGION 15 – Allen Central, Belfry, Betsy Layne, East Ridge, Johnson Central, Lawrence County, Magoffin County, Morgan County, Paintsville, Pike County Central, Pikeville, Prestonsburg, Sheldon Clark

REGION 16 – Ashland Blazer, Bath County, Boyd County, East Carter, Fairview, Fleming County, Greenup County, Holy Family, Lewis County, Raceland, Rose Hill Christian, Rowan County, Russell, West Carter

#### II) DATES AND SITES FOR POSTSEASON COMPETITION

##### A) Dates for Play

1) The Commissioner with the approval of the Board of Control shall determine the dates of the region, semi-state and state meets.

2) All meets shall be held within the dates indicated on the Memorandum Calendar unless otherwise approved by the Commissioner and recommended by a vote of the participating school representatives.

3) Schedule conflicts with other academic required activities will be resolved by the office of the Commissioner.

##### B) Sites for Play

1) The Commissioner shall make the determination of the region and semi-state sites.

2) Schools interested in hosting may submit a request after consulting with the designated member of the Commission. The prospective host may be asked to supply information relating to seating and parking facilities and such other information as may be requested by the Commissioner.

3) The Commissioner's office may use a requested site selection plan as a guide, but is not bound by this plan in determining the sites.

4) Only schools which are members of the Association prior to January 1 of the year in which the meet is held, and already having a suitable facility for hosting the meet shall be allowed to apply, and only those competing in the current year will be allowed to vote for the region meet site selection plan.

5) If a region is unable to resolve conflict over a plan, the Commissioner shall establish a plan.

6) The semi-state Manager may have multiple sites with input from the coaches involved.

7) The Board of Control shall determine the site of the state tournament after receiving the Commissioner's recommendation, and shall be guided by necessary hotel facilities, playing facilities, and community/area support.

#### III) TOURNAMENT MANAGERS AND PAIRINGS

##### A) Selection of Tournament Manager

1) The Commissioner will appoint a manager for each of the region tournaments, and shall serve as the manager for the state tournament. The Commissioner may appoint as many assistant managers as deemed necessary to manage the event.

2) It is the duty of each region manager to notify all schools participating in the tournament as to the time and place of the tournament, to supply him or her with entry material and instructions, to invite their participation, and make all arrangements to conduct the tournament.

3) It is an explicit duty of each tournament manager to inform the association IMMEDIATELY as to the bracket / pairings / entries / results when requested by KHSAA staff and according to the time deadlines provided including the actual site of the tournament if it is not held at the school. The receipts of a tournament can be assessed a fine (levied against the manager) for failure to comply with these provisions.

##### B) Pairings

- 1) For the individual region singles and doubles tournaments, the manager may seed the contestants and draw for the remaining places in the tournament.
- 2) For individual region singles and doubles tournaments, there should be 1 seeded player for every four players entered (1 to 4).
- 3) The regional draw/meeting should follow the regulations as set forth by the USTA Friend of Court for seed lines and draw procedure.
- 4) For the individual region singles and doubles tournaments, singles players and doubles teams from the same school shall be placed in opposite brackets.
- 5) For the individual state singles and doubles tournaments, the Commissioner shall supervise the seeding of the brackets in all tournaments, and supervise a draw for the remaining places in the tournament. No active coach may be involved in the seeding process.
- 6) Seeding shall be based on the All Factors Method, considering each entrants' chances of winning the tournament and shall consider all reasonably available information, including ranking lists, standing lists, recent records, types of surface, and particularly head-to-head encounters.
- 7) For individual state singles and doubles tournaments, there should be 1 seeded player for every eight players entered.
- 8) Per the Listing Seeds description in the USTA Friend of Court guidance, seeds 1-4 shall be listed individually, and seeds 5-8 shall be grouped.
- 9) The Main draw, based initially on the Positioning Seeds guidance from the USTA Friend of Court, shall ensure that Seed 1 is placed on line 1, seed 2 on line 64, 3 and 4 drawing at random for lines 17 or 48, and then seeds 5-8 placed at random for line 9, 25, 40 or 56. This draw ensures that seeded players do not meet until the third round of championship play.
- 10) During initial placement, the draw shall be adjusted to ensure that competitors from the same school are not in the same bracket half.
- 11) The seeding and the remainder of the draw shall be done by a Committee appointed by the Commissioner involving USTA designees, KHSAA staff and the nonschool affiliated tournament management. Any active coach may not be a part of the seeding / draw process.
- 12) The Commissioner shall ensure a public announcement of the seeded players in all brackets and of the draw.
- 13) For the team semi-state tournaments the following draw has been established for region vs. region winners in scheduling the team competition. This draw shall be evaluated by the Board of Control prior to extension into future years.

Section	2013	2014	2015	2016
1	R1 vs. R4; R2 vs. R3	R1 vs. R2; R3 vs. R4	R1 vs. R3; R2 vs. R4	R1 vs. R4; R2 vs. R3
2	R5 vs. R8; R6 vs. R7	R5 vs. R6; R7 vs. R8	R5 vs. R7; R6 vs. R8	R5 vs. R8; R6 vs. R7
3	R9 vs. R12; R10 vs. R11	R9 vs. R10; R11 vs. R12	R9 vs. R11; R10 vs. R12	R9 vs. R12; R10 vs. R11
4	R13 vs. R16; R14 vs. R15	R13 vs. R14; R15 vs. R16	R13 vs. R15; R14 vs. R16	R13 vs. R16; R14 vs. R15

- 14) The Commissioner shall conduct a blind draw for pairings among the four Section winners for the State Tournament Team Competition.
- 15) Regardless of any vote or consensus of the competing individuals or teams, any bracket not drawn in accordance with the above procedures may be directed to be corrected, including the replay of contests, by the Commissioner's office.

#### IV) ELIGIBILITY TO ENTER TOURNAMENT PLAY AND SUBSTITUTIONS

##### A) Roster Requirement

- 1) Each school shall enter an unlimited number of contestants on the postseason electronic roster via the KHSAA website and its online participation tracking system by the published deadlines.
- 2) This roster may be revised during the regular season up to the point where the draw/seeding for regional tournament brackets are conducted and shall serve all roster purposes in these rules.
- 3) On the first day of postseason play, these rosters shall be locked so that no additional players may be added, deleted or revised following this date, thereby creating the final eligibility list for

tournament play.

- 4) After this time, the only revision allowed will be to correct a documented clerical error as approved by the Commissioner.
- 5) Coaches must submit the requisite form (TN104) before the regional draw/seeding meeting, listing the ranked order of individuals that meet all eligibility requirements for entry into postseason play.

##### B) Individual Entry Requirements

- 1) To be eligible to compete in the region or state matches, a contestant shall have competed in a minimum of four (4) matches representing a member school during the regular season. Coaches should maintain and have available copies of all results for the region manager to verify in case of a challenge to the required participation minimums by any athlete.
- 2) All matches played in a tournament during the season count as one match of the four (4) required to qualify for region play.
- 3) Using the Association prescribed entry forms, a school may enter a maximum of two singles players and two doubles teams in the individual singles and doubles region tournaments.
- 4) No contestant shall compete in both the singles and doubles competition.
- 5) Members playing in the singles and doubles may represent the member school in the team championship series.

##### C) Individual Advancement to State Meet

- 1) The champion, runner-up and other two semi-finalists in both the singles and doubles region tournaments shall qualify for the individual State Singles and Doubles Tournaments (top 4 finishers from each region).
- 2) Players shall participate in all rounds of region tournament play to be eligible for individual singles and doubles state tournaments.

##### D) Team Entry and Advancement

- 1) For team competition at the region tournaments, the coach shall turn in a roster line-up 30 minutes prior to the start of the match time in rank order 1-10 of individuals that have met all eligibility requirements that may represent the member school in the team region competition. Line-ups may not be changed for that particular round once submitted to the manager.
- 2) For team competition at the semi-state and state tournaments, the coach shall turn in a roster line-up using the requisite form (TN110) one hour prior to the match time. Line-ups may not be changed for that particular contest once submitted to the manager.
- 3) The region winning team shall advance to team semi-state play to be held the Saturday prior to the State Tournament.
- 4) Any ties for team region champion honors in qualifying for the semi-state qualifying positions shall be broken using a match (2 of 3 sets) format with the third set being a 10-point tie break.
- 5) The four semi-state winners shall advance and compete during the play dates of the individual singles and doubles State Tournaments as scheduled.

##### E) Substitutions

###### 1) Region Substitutions

- a) Any player whose name is on the official roster may be substituted on a team prior to the time of the draw for the region tournament.
- b) After the region draw is final, the region tournament manager may allow substitution in singles or doubles only if the original entry is prevented from participating due to circumstances due to injury/illness. No advantage may be gained by a substitution or it will not be permitted.

###### 2) State Substitutions

- a) Prior to the first match at the state tournament, the tournament manager may allow substitution on a doubles team if the original entry is prevented from participating due to circumstances due to injury/illness. No advantage may be gained by a substitution or it will not be permitted.
- b) After the first match has begun at the state tournament, there cannot be a substitution on a doubles team.
- c) There cannot be a substitute for a singles player at any time during the state tournament.
- d) Any withdrawal of a non-seeded player prior to tournament play shall result in leaving the player in the draw and

advancing the opponent by withdrawal.

#### V) UMPIRES / OFFICIALS

Officials for all KHSAA sponsored championship competition shall be selected by the Association staff in consultation with the Kentucky Tennis Association representatives.

#### VI) CHAMPION, TROPHIES AND AWARDS

##### A) Individual Play (Singles/Doubles)

- 1) All individual matches (singles and doubles) shall be played using a match (2 of 3 sets) format with the third set being a 10-point tie break.
- 2) The region champion in the individual singles and doubles tournaments shall be the singles player or doubles team undefeated in a single elimination bracket.
- 3) The state champion in the individual singles and doubles tournaments shall be the singles player or doubles team undefeated in a single elimination bracket.

##### B) Team Competition

###### 1) Region

- a) Points are awarded from 2 singles competitors and 2 doubles teams entered in tournament play.
- b) The team that scores the greatest number of points during the region tournament shall be declared the team champion.
- c) In the case of a two-way tie in points for the team championship in the Region Tournament, the tie will be broken by the following method:
  - i. The team format shall be 3 singles matches and 2 doubles matches. Each match shall be a 2 out of 3 format with the third set being a 10-point tie break.
  - ii. The play format shall follow the listing of players as established on the Regional Tournament entry form for singles and doubles. S1 vs S1, S2, vs. S2, etc. The 3rd singles player shall come from the submitted roster.
  - iii. Each match counts 1 point with the first team to 3 points being declared the winner.
  - iv. Once the winner is determined (3 points are achieved), all other play shall end.

- d) In the case of a three-way tie in points for the team championship in the region tournament, the tie shall be broken using a four-team seeded bracket with the top seed receiving a bye, and seeds 2 and 3 playing for the right to play the top seed.

- i. To determine seed positions, the tied teams shall be ranked by the number of games won during the region tournament to determine the order of the tie-breaking seeds.

- ii. If this ranking continues to result in a tie, it shall be broken by ranking the tied teams in order by the fewest number of games lost.

- iii. If this ranking continues to result in a tie, it shall be broken by a blind draw.

- iv. Once the tie is broken and seed positions determined, the tie shall be broken in team format play with 3 playing 2, and the winner subsequently playing seed 1.

- v. The team format shall be 3 singles matches and 2 doubles matches. Each match shall be a 2 out of 3 format.

- vi. Each match counts 1 point with the first team to 3 points being declared the winner.

- vii. Once the winner is determined (3 points are achieved), all other play shall end.

- e) In case of a two-way tie in points for team runner-up in the region tournament, the tie shall be broken by calculating the number of games played with the number of games won. The higher percentage of games won shall be awarded the regional runner-up. The same procedure shall apply for a three-way tie in points for regional runner-up.

###### 2) Semi-State and State

- a) The team State championship will be conducted in a 16 school single elimination bracket for boys and a 16 school single elimination bracket for girls.

- b) The first round matches, and the quarterfinal matches shall be conducted at sites designated by the Commissioner's office and shall be designated as semi-state matches.

- c) The format for each team match shall be 3 singles and 2 doubles matches. Each match shall be a 2 out of 3 format, with the third set being a 10-point tie-break.

- d) Each match counts 1 point with the first team to 3 points being declared the winner.

- e) Once the winner is determined (3 points are achieved), all other play shall end.

- f) The winner of each quarterfinal match shall advance to the state final tournament.

- g) The state team champion shall be the team undefeated in each single elimination team bracket.

##### C) Trophies and Awards

###### 1) Region.

- a) Trophies will be given to the team winner and runner-up at each region.

- b) Awards will be given to winner through quarterfinalist at each region meet.

- c) The Association will bear the costs of all trophies and awards at the region meet.

###### 2) State.

- a) Trophies will be awarded to the teams finishing first and second, and the semi-finalists at the state tournament (these four teams are made up of the semi-state winners).

- b) Awards will be given to the winner through quarterfinalist in Singles play at the state meet.

- c) Awards will be given to the winner through quarterfinalist doubles teams at the state meet.

- d) The Association will bear the costs of all trophies and awards at the state tournament.

#### VII) FINANCES, PASSES

##### A) Region/Semi-state

- 1) The finances of the region tournament will be managed at the region level.

- 2) The region competing schools shall decide any distribution of net profit/loss, which shall be shared. All gross receipts prior to any distribution shall include any applicable advance payment made by teams to help offset event costs.

- 3) The finances of the Team semi-state tournament will be managed at the local semi-state level.

##### B) State

- 1) The Association will finance the state tournament.

- 2) Schools are responsible for the expenses of competitors participating in the state tournament.

#### VIII) SPORT SPECIFIC COMPETITION RULES

##### A) Playing Rules

- 1) All Tournaments shall be played using the rules as established by the United State Tennis Association unless modified by the KHSAA.

- 2) Additional rules otherwise developed by the KHSAA may be implemented in contests played in Kentucky and will be distributed to the membership when relevant.

- 3) Detailed and binding instructions, both for managers and participating teams, shall be published on the KHSAA website.

- 4) All team match play shall be best two out of three sets with the third set being a 10-point tie break.

##### B) Specifications for Tennis Courts

The specifications for recommended and required standards for tennis facilities to be used in tournament play may be developed by Association staff and Advisory Committees and approved by the Board of Control.

##### C) Dress Code

- 1) It is recommended that players wear school uniforms during play.

- 2) The following shall NOT be allowed during tournament play:

- a) Visible undergarments under either shorts, skirts or shirts.

Note: Compression shorts, if worn as an undergarment above the knee, are legal.

- b) Bare midriffs.

- c) Any garment or hat advertising alcohol, drugs, tobacco products or other inappropriate items deemed objectionable by the KHSAA State Tournament Director.

- d) Objectionable permanent body markings (tattoos) shall be covered; non-permanent body markings (temporary tattoos or body paint) shall be prohibited.

- e) Black-soled shoes are prohibited.

##### D) Limit of Coaches

More than one coach coaching at the same time during the same

match is prohibited.

E) Coach Communication

- 1) The following standards are required for coach communication:
  - a) The head coach or one assistant coach designated by the school may talk to the players and this is only allowed between points and during an authorized rest/break period and should not delay play. Coaching is NOT permitted when the player changes ends during a tie-break game or during any warm-up period.
  - b) Once all championship tournament play is inside the Stadium Courts, all coaching shall be done on the court. Coaching from outside the court will be prohibited.
  - c) Coaches may walk onto/enter the court to coach at the designated appropriate times. Players shall stay on the court with coaches during this communication.
  - d) The 90-second period for coaching begins immediately following the last game point, not when conversation begins.
  - e) During the designated coaching breaks, a player may confer with his/her coach. Both singles players and both doubles teams SHALL be at their respective baselines ready to play at the conclusion of the 90-second period. If the break period is NOT adhered to, the following penalties will be applied:
    - (1) Both players not ready to play – The server starts the next game 0-30.
    - (2) The server is not ready to play – The server starts the next game 0-30.
    - (3) The receiver is not ready to play – The server starts the next game 30-0.

F) Improper Use of Passes

Schools found to be issuing coaching passes to unqualified personnel will result in the loss of all coaching passes, except for one head coach, and may result in the disqualification of student participant(s).

G) Tardiness

For the State Tournament - Tardiness for Match Participation Rule:  
5 minutes or less tardy – Loss of toss and loss of one game  
5:01 minutes to ten minutes tardy – Loss of toss and loss of two games  
10:01 minutes to fifteen minutes tardy – Loss of toss and loss of three games  
More than fifteen minutes tardy - Default

H) Continuous Play at State Tournament

- 1) Continuous play will be in effect for the first two sets with a ten minute break allowed.
- 2) A two minute break is allowed between the first and second sets.
- 3) There is no rest period after the first game of any set.
- 4) The KHSAA will work cooperatively with representatives of the Kentucky Medical Association and the Kentucky Tennis Association to implement provisions of the USTA Rest Period rule should the tournament format dictate such implementation.

I) Inclement Weather at the State Tournament

- 1) In the event inclement weather occurs during the state tournament, and in the opinion of the state manager as approved by the Commissioner, it is in the best interest of completing the event within the time schedule to shorten specific matches, a No-Ad system of scoring can be used.
- 2) Once implemented, the system will be used at the discretion of the manager, all matches in a quartile (group of four matches in round 1, or 2 matches in round 2) shall be played using the same format. If this occurs, such may continue to be implemented until a time when the regular schedule of matches can resume.

J) Heat Index

All play shall adhere to the KHSAA Heat Index Program. For postseason play, it is the responsibility of the host site manager to ensure and track the heat index and report it accordingly to the KHSAA.

K) Deadline to Start Contest

No contest shall be started in a postseason contest after 11:00 p.m. local time at any contest site.

## CASE SITUATIONS RELATED TO THE COMPETITION RULES of the KENTUCKY HIGH SCHOOL ATHLETIC ASSOCIATION

Specific case situations are contained in this section of the KHSAA Handbook which are interpretations and rulings which have been made by the Commissioner in accordance with applicable provisions of the KHSAA Constitution. These interpretations supplement the printed rules but do not in any manner substitute for the actual rule. Many of these rulings have established precedent for the interpretation or enforcement of these provisions, and remain in place until further altered, re-interpreted, or otherwise set aside. Specific inquiries not addressed by published interpretations should be submitted in writing to the Commissioner of the KHSAA, 2280 Executive Drive, Lexington, KY 40505. Interpretive questions or eligibility rulings shall be requested and issued in writing.

### **Case CR-1- What is the purpose of the Competition Rules of the KHSAA?**

In many sports and sports activities, there are decisions that have to be made to establish a framework for competition. These rules (different than the Playing Rules) establish alignments, bracketing provisions and championship arrangements. In all cases, the Board of Control has final jurisdiction over the Competition Rules.

### **Case CR-2- What are the current championships of the KHSAA?**

The KHSAA sponsors (sanctions) sports championship events in the fall, winter and spring seasons. Fall championships are considered to be cross country (boys and girls), field hockey, golf (boys and girls), soccer (boys and girls), volleyball (girls), and football (combined); winter championships are basketball (boys and girls), wrestling (combined) and swimming and diving (boys and girls); spring championships are baseball (boys), softball (fastpitch for girls), tennis (boys and girls) and track and field (boys and girls)

In addition, the KHSAA sponsors (sanctions) a state championship in the Sport Activities of Archery (combined), Bass Fishing (combined), Bowling (Boys and Girls) and Cheer (All Girl and Coed divisions).

### **Case CR-3- What sports and sport activities are insured by the KHSAA?**

The KHSAA Board of Control will purchase Catastrophe Insurance, using the member dues and tournament receipts, to insure the student-athletes who compete in the sanctioned sports and sport activities of the KHSAA. In addition, students serving in a support capacity at those sponsored events (managers, trainers, sideline cheerleaders (providing they comply with the NFHS rules restrictions).

Such insurance coverage shall be in force from the first day of the Bylaw 23, Limitation of Seasons in a sponsored sport or sport activity, through the last day, provided such competition complies with all parts of the Bylaw and its individual competitors comply with all applicable KHSAA bylaws. The deductible for this policy shall be published in a timely manner to ensure that the school and student-athletes have adequate opportunity to comply with Bylaw 12.

The KHSAA Board of Control will purchase General Liability Insurance, using the member dues and tournament receipts, to provide for General Liability coverage for the Association and its agents and assignees, in all KHSAA sponsored competition in all sports (postseason) for which the Association sponsors a championship. The Commissioner shall ensure through all forms of due diligence, that all levels of these sponsored championships are conducted in accordance with applicable KHSAA Competition Rules in order to maintain the integrity of the policy.

### **Case CR-4- How many schools are required to agree to participate in KHSAA sponsored postseason competition in order for the Association to hold a championship in that sport or sport-activity?**

Fifty (50) member schools located in at least three (3) different basketball regions shall agree to field a team in order that

the Association consider sponsoring a championship. The achievement of this percentage is no guarantee of the development of a championship as the Board of Control must consider fiscal and legal consequences such as the Association budget, the budget of the member schools and the impact on such things as Title IX.

Twenty-five member schools located in at least three (3) different basketball regions shall participate each year in order that the Association maintains a championship.

These criteria may be waived by the Board of Control in order to sponsor a championship in any sport that does not conflict with state or federal law and which provides additional participation opportunities exclusively for females.

The Association shall survey the membership every three years to determine interest in new offerings.

### **Case CR-5- Who is in control of the media and press at KHSAA sponsored competition?**

1) The intellectual property, corporate, broadcasting and media rights to the State championship rounds of the KHSAA postseason championships belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school may approve or sign an agreement that includes rights to state contests (delayed or live).

2) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school can sign an exclusive agreement for a postseason round (District, Region, semi-state) without agreement between the schools and approval of the KHSAA Office.

3) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, but are assigned to the Principal of each institution for management and control. No member school shall sign an exclusive regular season agreement that would "Shut out" its opponent from any audio, video streaming or having the opportunity to do so.

4) At all levels of competition during postseason play, control of media access and location at those contests is with the KHSAA.

5) At all levels of competition, including district, region, sectional, and state competition in KHSAA sponsored tournaments and meets, managers are to make allowances for members of the media to tape portions of the contests at no charge to the media outlet, as long as the taping is for the sole purpose of news highlights.

6) Contact the KHSAA Communications Director with questions.

### **Case CR-6- Who is empowered to make decisions at KHSAA tournaments when inclement weather forces postponement of contests or events?**

While it would be ideal if all parties could meet and agree on any re-scheduling of games necessitated by inclement weather or other natural events, this may not be practical. It is the duty of the tournament manager to make decisions with regards to re-scheduling in the event of postponement and ensure that all teams, media outlets and schools are properly notified.

### **Case CR-7- Is it possible for a winner and corresponding runner-up in baseball, basketball, field hockey, soccer, softball or volleyball district tournament to meet before the final region game?**

No, because they are to be placed in opposite brackets.

### **Case CR-8- Is there a mandatory brand of game ball to be used in postseason play in baseball, basketball, football, soccer, softball and volleyball?**

Yes, the Association has a long-term agreements with multiple sponsors. Staff at KHSAA can assist with specific model numbers, although use of specific numbers is not mandated.

In all postseason contests in baseball, softball, football and basketball, a Rawlings brand ball (or Worth brand in softball) with the proper NFHS authenticating mark shall be used for There is no requirement that a KHSAA logo appear on the ball, or that a specific ball number be used.

In all postseason contests in soccer, a SELECT Sports brand ball with the proper NFHS authenticating mark shall be used. There

is no requirement that a KHSAA logo appear on the ball, or that a specific ball number be used.

In all postseason contests in volleyball, a Baden brand ball with the proper NFHS authenticating mark shall be used. There is no requirement that a KHSAA logo appear on the ball, or that a specific ball number be used.

**Case CR-9- Are there any restrictions on the scheduling and playing of games in a “seeded district” in baseball, basketball, soccer, softball, or volleyball?**

Yes. Principals, Athletic Directors and Coaches should consult the Competition Rules related to each of these sports for more specific dates for tournament meetings and allowances for scheduling of these meetings. All games involved in the calculation of a seed position SHALL be played on or before the Wednesday prior to the start of postseason play. Games played after that time SHALL NOT factor into the seeded position.

**Case CR-10- How are the tournament sites selected for district tournament sites for baseball, basketball, soccer, softball in the event that agreement cannot be reached on a site selection plan?**

If a site selection plan cannot be reached on first round (normally district) tournaments, the Commissioner will intervene and establish a rotation plan subject to appeal to the Board of Control. The site selection plan shall be confirmed by annual balloting during the season and reported to the Association along with seeding decisions. Rotating the tournament sites does not necessarily mean that each school shall host the tournament at that school's home site, but that each school, with available proper and adequate facilities, shall have the opportunity to host the tournament, even if an alternative site is required due to site requirements within a given sport.

**Case CR-11- Is there a deadline for a district host school in baseball, basketball, soccer, softball or volleyball to select a playing site if the school home site doesn't meet the requirements for holding the tournament?**

Yes. Through various rules and rulings in past years, every school in a specific district alignment shall have an opportunity to serve as the host for the tournament. Each school may, of course, decline that opportunity. However, this requirement ensures that on a periodic basis, all schools get to share in the concession and parking proceeds, host share of the ticket receipts and other benefits that come from serving as the host. It is recognized that all schools in a given alignment grouping will not meet the tournament specifications.

For this reason, the following steps shall be taken by the schools in the district-

- 1) The schools shall meet and decide what facilities can hold the attendance of the last five years of the tournament based on the fan followings of the schools, and satisfy other logistical needs such as accessibility, parking, etc. (agreed sites). In addition, all sites must meet any adopted site criteria for the sport that has been approved by the Board of Control. If there is a disagreement, the Board of Control can serve as the final resolution and KHSAA staff shall assist in that determination.
- 2) The discussion on site applicability is separate from the ability to “host”, that is being the tournament manager, operating concessions, etc. as all schools shall be given that opportunity to host, just not necessarily at a site that cannot accommodate the event.
- 3) From that list of agreed sites, the rotation of sites is to be considered, factoring in of course, that all schools shall be given a chance (if they want) to host, even if it isn't played at the host school's home playing facility.
- 4) In a year when the designated host's home facility does not meet the specifications for holding the tournament, the final selection as to the playing site rests with the designated host school. That school has sole discretion to make that decision, but is compelled to choose only from the list of agreed facilities as mentioned in (1) above.
- 5) In a year when the designated host school's home facility does not meet the specifications for holding the tournament, the designation shall be made by September 20 in volleyball and soccer; January 1 in basketball; and April 15 for baseball and

softball.

**Case CR-12- How are the contest sites selected for region tournaments/meets/contests?**

The Commissioner establishes all regional sites. The Commissioner shall be generally guided by a site selection plan approved by a 2/3 vote of the member schools in the region, but is not compelled to assign the event to that site specified in the plan, and shall only assign to the sites meeting specified sports specific criteria.

Changes to a requested site selection plan shall be confirmed by balloting of the Principals or Designated Representatives of the member schools.

**Case CR-13- May a name be added to the roster or entry form after the first day of postseason competition?**

No, changes cannot be made unless otherwise provided in the competition rules for a specific sport. The principal shall check the online roster in all sports and sport-activities to ensure that all players are on the list as the rosters are locked after postseason play begins. For individual sports using the published entry list and/or forms, the specific sport tournament rules will address any options for substitution or correction, if such options exist.

**Case CR-14- How is it determined that a meet counts against the four meet minimum in Track and Field or Cross Country?**

In order to count as a meet for the four meet minimum a meet shall be a meet which rises to the standard of counting as a meet towards the interpretations of Bylaw 23. In addition, in Cross Country, the distance would be a minimum of 2500 meters and a maximum of 5000 meters and involved entries from two or more schools. To count towards the minimums, the meets may be at the varsity or nonvarsity level in terms of meet structure. It shall be counted in the same manner for all competitors (varsity, JV or freshman). The school approved or provided uniform shall be worn in this competition. In accordance with Bylaw 22, a school representative shall accompany the team.

**Case CR-15- If a Cross Country Meet is interrupted by weather, can other races be run that day?**

Yes. The particular interrupted race is considered complete and counts both towards meet limits and participation minimums. If other competition can resume after required delays, that is permissible. Only races actually started can count towards meet limits or participation minimums.

**Case CR-16 - Are there policies on participation by boys on girls' teams and vice-versa?**

Yes. See the Board of Control policies for complete details.

**Case CR-17 - Are there policy statements or recommendations from the Commissioner's office on postgame activity by teams and individuals?**

Yes. Several sports have “traditions” regarding postgame handshakes, etc. by team members (both en masse and as individuals), but none of them have such action dictated by playing rules. While it is an obvious sign of sportsmanship and civility, many incidents have occurred both in Kentucky (more than two dozen in the last three years in Kentucky alone) and throughout the country, where fights and physical conflicts have broken out during these postgame handshakes. And this is not restricted to specific sports.

Unfortunately, the adrenaline and effort required to participate in the sport sometimes seems to deplete the supply of judgement available to participants. And this can be particularly problematic when there is a lack of an appropriate level of adult supervision, or counterproductive actions by the adults involved with the team.

During 2013-14, the following directors were issued by the Commissioner to the membership for all of the schools and officials regarding post game in baseball, basketball, football, soccer, softball, volleyball and wrestling:

Following the contests, officials are to quickly and efficiently leave the playing facility following all rules mandated duties and ensure that the rules book mandated jurisdiction ends

promptly. There is no need for officials to secure the game balls, shake hands with the coaches or players, or stick around the playing area for any other reason.

Officials have no role in what goes on in postgame, including handshakes, etc. after jurisdiction has ended. Officials also have NO role in administering this time period. Officials choosing to involve themselves in postgame activities will be penalized appropriately;

Game management and the administration of the participating team(s) are solely responsible for what happens after the contest is concluded.

Certain interaction is required by the NFHS playing rules (i.e. the awarding of a bout winner in wrestling). Other postgame rituals such as handshakes, etc. must be closely monitored by school officials and are not a part of the game regulated by game officials. However, any unsportsmanlike conduct occurring during this time will subject the coach/player to penalties and discipline; and

The coaches and administration of the teams are always responsible for the individual conduct of the members of the team following the contest and shall be held accountable for such.

Henceforth, any incidents by an individual squad member (including coaches) or group of squad members that results in unsporting acts immediately following the contest will result in a penalty against the member school athletic program, and additional penalties against the individuals or schools as deemed appropriate following investigation.

#### **Case CR-18 - Who pays for the trophies for KHSAA postseason Competition?**

In all sports and sport-activities, the host of the event is responsible for the payment of trophies and is permitted to take that amount for the standard trophies ordered by the Association from gate receipts or other agreed fees. Those not charging a fee for admission are permitted to assess each member school for entry into the event to help cover these and other costs, but such assessment shall be included in any financial settlement published for the event.

#### **Case CR-19 - Are financial reconciliation reports required to be submitted to the KHSAA after KHSAA postseason events?**

Yes. All levels of KHSAA postseason event require a financial reconciliation.

#### **Case CR-20 - Has the Board of Control adopted a position statement or policy on the realignment / alignment of teams?**

Yes. On a perpetual basis, the Commissioner shall ensure that staff maintains a current alignment of teams in each sport with the following review points considered:

If a single school adds a team (or individuals) or deletes a team (or all individuals), the alignment may be revised on an ongoing basis by the Commissioner;

The Board of Control may, at its own discretion, choose to realign all teams participation in a sport or sport activity based on input from the Commissioner;

A realignment may also be caused by a previously adopted alignment having an expiration of its valid period. This is especially important in the enrollment based sports (classified sports). Cross Country/Track and Field and Football are aligned on a four-year alignment period;

Cross Country and Track and Field shall have the same alignment;

Football shall be aligned based on the average four-year enrollment of boys in the school, including the year in which the realignment project is completed, and the final alignment shall attempt to result in a final product being adopted at least twelve (12) months notice to the member schools for scheduling;

Cross Country/Track and Field shall be aligned on a not less than every four year basis, using the average four-year enrollment of total students in the school, including the year in which the realignment project is completed; and Specific parameters about each sport alignment shall be

contained in the Competition rules for the Sport or Sport Activity.

Whenever a sport or sport activity is to be realigned, the Board shall direct the Commissioner to produce the necessary information as to seed discussion by the Board of Control. The criteria and factors to be used in developing a draft alignment shall adhere to the following steps:

Identification as to whether or not enrollment classifications will be used;

Plot/Diagram the location of all competing schools; Begin by looking at geographic clusters of schools. Geography (including specific travel route information) is an important criteria for placement in groups (regions, districts, etc.), but is not the sole determinant for placement;

Travel routes must be reviewed as a potential factor, as well as the identification of the existence of multiple travel routes; In the non-classified team sports (baseball, basketball, soccer, softball, volleyball), the basketball alignment should be an initial framework, specifically the region boundaries;

If possible in the non-classified team sports, the district boundaries should be important element, but the level of participation of the schools in the district must be factor;

The unique factors of each sport, particularly the individual sports, must be reviewed including facilities; and

The participation and alignment history, both individual and teams, is a factor in consideration, but not the final determinant;

When reviewing alternative suggestions for alignments, the Board shall prioritize the requests from the membership that effect the requesting school over those suggestions that result from suggestions about other schools without effecting the suggesting school.

The following factors are NOT to be considered:

Past success or failure;

Enrollment (in a non-classified sport);

Socio-economic status and student body composition;

Type of school (A1, D1, F1, J1, M1, R1); and

Specific desires of coaches (input must be from administrative level).