KHSAA COMPETITION RULES

Governing Swimming & Diving

(Adopted by the Board of Control for all play in this sport)

(Where these rules refer to the Commissioner, they shall refer to the Commissioner of the KHSAA. Sports specific duties may be appropriately delegated to a member of the Commission for the implementation of these rules. See Case Situations for specific rulings and interpretations used to administer these provisions)

I) ASSIGNMENT OF SCHOOLS

A) Alignment of Teams for Postseason Play

1) The Kentucky High School Athletic Association shall sponsor separate postseason competition in swimming for boys and girls, provided that there is sufficient interest of the membership and such is approved by the Board of Control.
2) The Commissioner shall divide the state into geographic sections with the approval of the Board of Control.
3) The entire alignment shall be reviewed when the Board of Control conducts a realignment related to swimming.
4) In the interim, newly added or deleted programs will be handled on a case by case basis by the Commissioner’s office.
5) The up to date alignment of schools is maintained on the KHSAA website and is updated as information changes as provided by the membership with regard to participation.

II) DATES AND SITES FOR POSTSEASON COMPETITION

A) Dates for Play

1) The Commissioner with the approval of the Board of Control shall determine the dates of the region and state meets.
2) All meets shall be held within the dates indicated on the Memorandum Calendar unless otherwise approved by the Commissioner and recommended by a vote of the participating school representatives.
3) Schedule conflicts with other academic required activities will be resolved by the office of the Commissioner.

B) Sites for Play

1) The Commissioner shall make the determination of the region meet sites.
2) Schools interested in hosting may submit a request after consulting with the designated member of the Commission. The prospective host may be asked to supply information relating to seating and parking facilities and such other information as may be requested by the Commissioner.
3) The Commissioner’s office may use a requested site selection plan as a guide, but is not by this plan in determining site sites.
4) Only schools which are members of the Association prior to January 1 of the year in which the meet is held, and already having a suitable facility for hosting the meet shall be allowed to apply, and only those competing in the current year will be allowed to vote for the region meet site selection plan.
5) If a region is unable to resolve conflict over a plan, the Commissioner shall establish a plan.
6) All hosts granted an event shall host at least one meet during the region meet year with at least five schools entering competitors and using the electronic entry and results system. All host courses shall be properly marked per NFHS rules if the markings are not able to be affixed to the ground. The region host school shall own a current license to the Association designated software application for managing region entries and results or shall contract with a current license holder.
7) The region host school shall own a current license to the Association designated software application for managing region entries and results or shall contract with a current license holder.
8) The Board of Control shall determine the site of the state finals after receiving the Commissioner’s recommendation, and shall be guided by necessary hotel facilities, playing facilities, and community/area support.

III) TOURNAMENT MANAGERS, MEETINGS AND PAIRINGS

A) Selection of Tournament Manager

1) The Commissioner will appoint a manager for each of the region meets, and shall serve as the manager for the state meet. The Commissioner may appoint as many assistant managers as deemed necessary to manage the event.
2) It is the duty of each region manager to notify all schools participating in the meet as to the time and place of the meet, to supply him or her with entry material and instructions, to invite their participation, and make all arrangements to conduct the meet.
3) It is an explicit duty of each tournament manager to inform the association IMMEDIATELY as to the bracket / pairings / entries / results when requested by KHSAA staff and according to the time deadlines provided including the actual site of the tournament if it is not held at the school. The receipts of a tournament can be assessed a fine (levied against the manager) for failure to comply with these provisions.
4) The Commissioner shall serve as the manager for the state meet and may appoint as many assistant managers as deemed necessary to manage the event. The Commissioner will direct all of the business necessary to conduct the state tournament.

IV) ELIGIBILITY TO ENTER TOURNAMENT PLAY AND SUBSTITUTIONS

A) Roster Requirement

1) Each school shall enter an unlimited number of contestants on the postseason electronic roster via the KHSAA website and its online participation tracking system by the published deadlines.
2) This roster may be revised throughout the regular season and shall serve all roster purposes in these rules.
3) On the first day of postseason play, these rosters shall be locked so that no additional players may be added, deleted or revised following this date, thereby creating the final eligibility list for tournament play.
4) After this time, the only revision allowed will be to correct a documented clerical error as approved by the Commissioner.

B) Individual Entry Requirements

1) To be eligible to compete in the region or state meet, a contestant shall have competed in a minimum of four (4) meets involving a minimum of two schools on a team representing a member school during the regular season.
2) Athletes competing in high school races (grades 9-12) and participating with a high school as a representative of that school irrespective of level of the meet, shall be allowed to count that meet toward the four meet minimum.
3) Athletes desiring to enter the Diving competition shall have competed in Diving during at least four high school meets on a team representing a member school during the regular season. Such participation shall be verified to the region manager using the required KHSAA forms. Athletes entering high school meets where only Diving is contested or meets where that school’s only participation is Diving may count that participation against the required four (4) meets and the school does not have to count that particular meet against its maximum number of meets allowed under Bylaw 23.
3) Coaches should maintain and have available copies of all results for the region manager to verify in case of a challenge to the required participation minimums by any athlete. Such individual meet verification (results) may be recorded on standard forms distributed by the Association in lieu of keeping individual results.

C) Team Entry and Advancement

1) The initial entry report for contestants in the region meet shall be submitted not later than 5:00 p.m. four (4) days prior to the region meet.
2) All entries in KHSAA postseason play shall be done electronically using the Association designated software application not later than one week prior to the start of the region meet.
3) A school may enter as many as four contestants in each event at the region meet except for the relay events.
4) A school may enter only one relay team in each relay event at the region meet.
5) Only in the case of documented medical emergency can these deadlines be waived or a substitution be allowed.
6) Each manager is responsible for the forwarding of the entries for the region meet to all competing teams.

As of August 1, 2018, the Board of Control is reviewing the format of the State Swimming and Diving Championships. There will likely be changes in the format that will be announced to the membership but until that point, the following remains in effect.

D) Advancement to State Meet

1) The top two finishers in each event from each region meet shall
Competition Rules

2) The next twenty-two (22) at-large times from each event, or highest scores in the case of diving shall qualify for the State meet.

E) Substitutions
1) Any otherwise eligible contestant whose name is on the entry blank may be substituted for the original entry prior to the time that heats are set up for the region meet. The region manager shall establish this deadline.
2) There are no substitutes for individual event qualifiers to the state meet.
3) In the State Meet, relay teams need not be composed of the same contestants as those in the region meet.
4) In relay events, eight (8) individuals may be listed as entries, any four (4) of which shall be assigned to swim. Participating in the preliminary or final round of an event shall count as an event toward this limitation.

V) UMPIRES / OFFICIALS
A) Regular Season Competition
It is strongly recommended that a KHSAA licensed official be present at each Swim meet that counts toward the limit of meets in accordance with Bylaw 23.

B) Postseason Competition
1) Officials for all KHSAA sponsored championship competition shall be assigned by the Commission in compliance with the Federal Court Decree of 1971 (1987, 2007) and within the published guidelines of the KHSAA Officials Guidebook.
2) The local management of the postseason swim meets shall have the authority to hire and utilize all licensed officials necessary for properly conducting the meets.

VI) CHAMPION, TROPHIES AND AWARDS
A) Champion
1) All entrants from a school finishing in the first sixteen (16) places in each event shall accumulate team points toward the team championship.
4) The champion of each meet will be the team compiling the highest total score.
B) Trophies and Awards
1) Region.
   a) Trophies will be given to the team winner and runner-up.
   b) Awards will be given to top six (6) finishing in each event at the region meet.
   c) The trophies and medals at the region shall be paid from the gate receipts of that meet.
2) State.
   a) Trophies will be awarded to the teams finishing first, second, third and fourth at the state tournament.
   b) Awards will be given to the top eight (8) individuals finishing in each event at the state meet.
   c) The Association will bear the costs of all trophies and medals at the state tournament.

VII) FINANCES, PASSES
A) Region
1) The finances of the region meet will be managed at the region level.
2) The region competing schools shall decide any distribution of net profit/loss, which shall be shared. All gross receipts prior to any distribution shall include any applicable advance payment made by teams to help offset event costs.
B) State
1) The Association will finance the state tournament.
2) Schools are responsible for the expenses of competitors participating in the state meet.

VIII) SPORT SPECIFIC COMPETITION RULES
A) Playing Rules
1) All games shall be played using the NFHS Swimming Rules as published by the NFHS in Indianapolis. Additional rules, governed by State Association adoption provisions in the National Federation Playing Rules or otherwise developed by the Association may be implemented in contests played in Kentucky and will be distributed to the membership when relevant.
2) Detailed and binding instructions, both for managers and participating teams, shall be published on the KHSAA website.
3) The Board of Control shall serve as the Games Committee as designated by the NFHS Swimming Rules. The Board shall through its normal procedures, receive input from the Commissioner’s Advisory Committee and all other internal opportunities prior to finalizing any decision in compliance with these rules.

B) Specifications for Swim Venues
The specifications for recommended and required standards for swim venues to be used in postseason competition may be developed by Association staff and Advisory Committees and approved by the Board of Control.
C) Events
All meets will have the following events: 200 Yard Medley Relay, 200 Yard Freestyle, 50 Yard Freestyle, 200 Yard Individual Medley, 1 Meter Diving, 100 Yard Butterfly, 100 Yard Freestyle, 100 Yard Backstroke, 500 Yard Freestyle, 100 Yard Breaststroke, 400 Yard Freestyle Relay and 200 Freestyle Relay.
D) Wedges
Starting wedges are neither permitted nor utilized for postseason (region or state) competition.
CASE SITUATIONS RELATED TO THE COMPETITION RULES of the KENTUCKY HIGH SCHOOL ATHLETIC ASSOCIATION

Specific case situations are contained in this section of the KHSAA Handbook which are interpretations and rulings which have been made by the Commissioner in accordance with applicable provisions of the KHSAA Constitution. These interpretations supplement the printed rules but do not in any manner substitute for the actual rule. Many of these rulings have established precedent for the interpretation or enforcement of these provisions, and remain in place until further altered, re-interpreted, or otherwise set aside. Specific inquiries not addressed by published interpretations should be submitted in writing to the Commissioner of the KHSAA, 2280 Executive Drive, Lexington, KY 40505. Interpretable questions or eligibility rulings shall be requested and issued in writing.

Case CR-1- What is the purpose of the Competition Rules of the KHSAA?
In many sports and sports activities, there are decisions that have to be made to establish a framework for competition. These rules (different than the Playing Rules) establish alignments, bracketing provisions and championship arrangements. In all cases, the Board of Control has final jurisdiction over the Competition Rules.

Case CR-2- What are the current championships of the KHSAA?
The KHSAA sponsors (sanctions) sports championship events in the fall, winter and spring seasons. Fall championships are considered to be cross country (boys and girls), field hockey, golf (boys and girls), soccer (boys and girls), volleyball (girls), and football (combined); winter championships are basketball (boys and girls), wrestling (combined) and swimming and diving (boys and girls); spring championships are baseball (boys), softball (fastpitch for girls), tennis (boys and girls) and track field (boys and girls) and coast (boys and girls). In addition, the KHSAA sponsors (sanctions) a state championship in the Sport Activities of Archery (combined), Bass Fishing (combined), Bowling (Boys and Girls) and Cheer (All Girl and Coed divisions).

Case CR-3- What sports and sport activities are insured by the KHSAA?
The KHSAA Board of Control will purchase Catastrophe Insurance, using the member dues and tournament receipts, to insure the student-athletes who compete in the sanctioned sports and sport activities of the KHSAA. In addition, students serving in a support capacity at these sponsored events (managers, trainers, sideline cheerleaders (providing they comply with the NFHS rules restrictions). Such insurance coverage shall be in force from the first day of the Bylaw 23, Limitation of Seasons in a sponsored sport or sport activity, through the last day, provided such competition complies with all parts of the Bylaw and its individual competitors comply with all applicable KHSAA bylaws. The deductible for this policy shall be published in a timely manner to ensure that the school and student-athletes have adequate opportunity to comply with Bylaw 12. The KHSAA Board of Control will purchase General Liability Insurance, using the member dues and tournament receipts, to provide for General Liability coverage for the Association and its agents and assignees, in all KHSAA sponsored competition in all sports (postseason) for which the Association sponsors a championship. The Commissioner shall ensure through all forms of due diligence, that all levels of these sponsored championships are conducted in accordance with applicable KHSAA Competition Rules in order to maintain the integrity of the policy.

Case CR-4- How many schools are required to agree to participate in KHSAA sponsored postseason competition in order for the Association to hold a championship in that sport or sport-activity?
Fifty (50) member schools located in at least three (3) different basketball regions shall agree to field a team in order that the Association consider sponsoring a championship. The achievement of this percentage is no guarantee of the development of a championship as the Board of Control must consider fiscal and legal consequences such as the Association budget, the budget of the member schools and the impact on such things as Title IX.

Twenty-five member schools located in at least three (3) different basketball regions shall participate each year in order that the Association maintains a championship. These criteria may be waived by the Board of Control in order to sponsor a championship in any sport that does not conflict with state or federal law and which provides additional participation opportunities exclusively for females. The Association shall survey the membership every three years to determine interest in new offerings.

Case CR-5- Who is in control of the media and press at KHSAA sponsored competition?
1) The intellectual property, corporate, broadcasting and media rights to the State championship rounds of the KHSAA postseason championships belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school may approve or sign an agreement that includes rights to state contests (delayed or live).
2) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, including titling agreements and sponsorships. No member school can sign an exclusive agreement for a postseason round (District, Regional, Semi-state) without agreement between the schools and approval of the KHSAA Office.
3) The intellectual property, corporate, broadcasting and media rights to the postseason rounds prior to the state finals belong exclusively to the KHSAA, but are assigned to the Principal of each institution for management and control. No member school shall sign an exclusive regular season agreement that would “Shut out” its opponent from any audio, video, streaming or having the opportunity to do so.
4) At all levels of competition during postseason play, control of media access and location at those contests is with the KHSAA.
5) At all levels of competition, including district, region, sectional, and state competition in KHSAA sponsored tournaments and meets, managers are to make allowances for members of the media to tape portions of the contests at no charge to the media outlet, as long as the taping is for the sole purpose of news highlights.
6) Contact the KHSAA Communications Director with questions.

Case CR-6- Who is empowered to make decisions at KHSAA tournaments when inclement weather forces postponement of contests or events?
While it would be ideal if all parties could meet and agree on any re-scheduling of games necessitated by inclement weather or other natural events, this may not be practical. It is the duty of the tournament manager to make decisions with regards to re-scheduling in the event of postponement and ensure that all teams, media outlets and schools are properly notified.

Case CR-7- Is it possible for a winner and corresponding runner-up in baseball, basketball, field hockey, soccer, softball or volleyball district tournament to meet before the final region game?
No, because they are to be placed in opposite brackets.

Case CR-8- Is there a mandatory brand of game ball to be used in postseason play in baseball, basketball, football, soccer, softball and volleyball?
Yes, the Association has long-term agreements with multiple sponsors. Staff at KHSAA can assist with specific model numbers, although use of specific numbers is not mandated. In all postseason contests in baseball, softball, football and basketball, a Rawlings brand ball (or Worth brand in softball with the proper NFHS authenticating mark shall be used for those contests in softball).

There is no requirement that a KHSAA logo appear on the ball, or that a specific ball number be used. In all postseason contests in soccer, a SELECT Sports brand ball with the proper NFHS authenticating mark shall be used. There

2018-2019 KHSAA HANDBOOK

COMPETITION RULES - 73
is no requirement that a KHSAA logo appear on the ball, or that a specific ball number be used. In all postseason contests in volleyball, a Baden brand ball with the proper NFHS authenticating mark shall be used. There is no requirement that a KHSAA logo appear on the ball, or that a specific ball number be used.

**Case CR-9-** Are there any restrictions on the scheduling and playing of games in a “seeded district” in baseball, basketball, soccer, softball, or volleyball?

Yes. Principals, Athletic Directors and Coaches should consult the Competition Rules related to each of these sports for more specific dates for tournament meetings and allowances for scheduling of these meetings. All games involved in the calculation of a seed position SHALL be played on or before the Wednesday prior to the start of postseason play. Games played after that time SHALL NOT factor into the seeded position.

**Case CR-10-** How are the tournament sites selected for district tournament sites for baseball, basketball, soccer, softball in the event that agreement cannot be reached on a site selection plan?

If a site selection plan cannot be reached on first round (normally district) tournaments, the Commissioner will intervene and establish a rotation plan subject to appeal to the Board of Control. The site selection plan shall be confirmed by annual balloting during the season and reported to the Association along with seeding decisions. Rotating the tournament sites does not necessarily mean that each school shall host the tournament at that school’s home site, but that each school, with available proper and adequate facilities, shall have the opportunity to host the tournament, even if an alternative site is required due to site requirements within a given sport.

**Case CR-11-** Is there a deadline for a district host school in baseball, basketball, soccer, softball or volleyball to select a playing site if the school home site doesn’t meet the requirements for holding the tournament?

Yes. Through various rules and rulings in past years, every school in a specific district alignment shall have an opportunity to serve as the host for the tournament. Each school may, of course, decline that opportunity. However, this requirement ensures that on a periodic basis, all schools get to share in the concession and parking proceeds, host share of the ticket receipts and other benefits that come from serving as the host. It is recognized that all schools in a given alignment grouping will not meet the tournament specifications. For this reason, the following steps shall be taken by the schools in the district:

1. The schools shall meet and decide what facilities can hold the attendance of the last five years of the tournament based on the fan followings of the schools, and satisfy other logistical needs such as accessibility, parking, etc. (agreed sites). In addition, all sites must meet any adopted site criteria for the sport that has been approved by the Board of Control. If there is a disagreement, the Board of Control can serve as the final resolution and KHSAA staff shall assist in that determination.
2. The discussion on site applicability is separate from the ability to “host”, that is being the tournament manager, operating concessions, etc. as all schools shall be given that opportunity to host, just not necessarily at a site that cannot accommodate the event.
3. From that list of agreed sites, the rotation of sites is to be considered, factoring in of course, that all schools shall be given a chance (if they want) to host, even if it isn’t played at the host school’s home playing facility.
4. In a year when the designated host’s home facility does not meet the specifications for holding the tournament, the final selection as to the playing site rests with the designated host school. That school has sole discretion to make that decision, but is compelled to choose only from the list of agreed facilities as mentioned in (1) above.
5. In a year when the designated host school’s home facility does not meet the specifications for holding the tournament, the designation shall be made by September 20 in volleyball and soccer; January 1 in basketball; and April 15 for baseball and softball.

**Case CR-12-** How are the contest sites selected for region tournaments/meets/contests?

The Commissioner establishes all regional sites. The Commissioner shall be generally guided by a site selection plan approved by a 2/3 vote of the member schools in the region, but is not compelled to assign the event to that site specified in the plan, and shall only assign to the sites meeting specified sports specific criteria. Changes to a requested site selection plan shall be confirmed by balloting of the Principals or Designated Representatives of the member schools.

**Case CR-13-** May a name be added to the roster or entry form after the first day of postseason competition?

No, changes cannot be made unless otherwise provided in the competition rules for a specific sport. The principal shall check the online roster in all sports and sport-activities to ensure that all players are on the list as the rosters are locked after postseason play begins. For individual sports using the published entry list and/or forms, the specific sport tournament rules will address any options for substitution or correction, if such options exist.

**Case CR-14-** How is it determined that a meet counts against the four meet minimum in Track and Field or Cross Country?

In order to count as a meet for the four meet minimum a meet shall be a meet which rises to the standard of counting as a meet towards the interpretations of Bylaw 23. In addition, in Cross Country, the distance would be a minimum of 2500 meters and a maximum of 5000 meters and involved entries from two or more schools. To count towards the minimums, the meets may be at the varsity or nonvarsity level in terms of meet structure. It shall be counted in the same manner for all competitors (varsity, JV or freshman). The school approved or provided uniform shall be worn in this competition. In accordance with Bylaw 22, a school representative shall accompany the team.

**Case CR-15-** If a Cross Country Meet is interrupted by weather, can other races be run that day?

Yes. The particular interrupted race is considered complete and counts both towards meet limits and participation minimums. If other competition can resume after required delays, that is permissible. Only races actually started can count towards meet limits or participation minimums.

**Case CR-16-** Are there policies on participation by boys on girls’ teams and vice-versa?

Yes. See the Board of Control policies for complete details.

**Case CR-17-** Are there policy statements or recommendations from the Commissioner’s office on postpone activity by teams and individuals?

Yes. Several sports have “traditions” regarding postponed handshakes, etc. by team members (both en masse and as individuals), but none of them have such action dictated by playing rules. While it is an obvious sign of sportsmanship and civility, many incidents have occurred both in Kentucky (more than two dozen in the last three years in Kentucky alone) and throughout the country, where fights and physical conflicts have broken out during these postponed handshakes. And this is not restricted to specific sports.

Unfortunately, the adrenaline and effort required to participate in the sport sometimes seems to deplete the supply of judgement available to participants. And this can be particularly problematic when there is a lack of an appropriate level of adult supervision, or counterproductive actions by the adults involved with the team.

During 2013-14, the following directors were issued by the Commissioner to the membership for all of the schools and officials regarding post game in baseball, basketball, football, soccer, softball, volleyball and wrestling:

Following the contests, officials are to quickly and efficiently leave the playing facility following all rules mandated duties and ensure that the rules book mandated jurisdiction ends.
Case CR-18 - Who pays for the trophies for KHSAA postseason Competition?

In all sports and sport-activities, the host of the event is responsible for the payment of trophies and is permitted to take that amount from the standard trophies ordered by the Association from gate receipts or other agreed fees. Those not charging a fee for admission are permitted to assess each member school for entry into the event to help cover these and other costs, but such assessment shall be included in any financial settlement published for the event.

Case CR-19 - Are financial reconciliation reports required to be submitted to the KHSAA after KHSAA postseason events?

Yes. All levels of KHSAA postseason event require a financial reconciliation.

Case CR-20 - Has the Board of Control adopted a position statement or policy on the realignment / alignment of teams?

Yes. On a perpetual basis, the Commissioner shall ensure that staff maintains a current alignment of teams in each sport with the following review points considered:

- If a single school adds a team (or individuals) or deletes a team (or all individuals), the alignment may be revised on an ongoing basis by the Commissioner;
- The Board of Control may, at its own discretion, choose to realign all teams participation in a sport or sport activity based on input from the Commissioner;
- A realignment may also be caused by a previously adopted alignment having an expiration of its valid period. This is especially important in the enrollment based sports (classified sports). Cross Country/Track and Field and Football are aligned on a four-year alignment period;
- Cross Country and Track and Field shall have the same alignment;
- Football shall be aligned based on the average four-year enrollment of boys in the school, including the year in which the realignment project is completed, and the final alignment shall attempt to result in a final product being adopted at least twelve (12) months notice to the member schools for scheduling;
- Cross Country/Track and Field shall be aligned on a not less than every four year basis, using the average four-year enrollment of total students in the school, including the year in which the realignment project is completed; and

Specific parameters about each sport alignment shall be contained in the Competition rules for the Sport or Sport Activity.

Whenever a sport or sport activity is to be realigned, the Board shall direct the Commissioner to produce the necessary information as to seed discussion by the Board of Control. The criteria and factors to be used in developing a draft alignment shall adhere to the following steps:

- Identification as to whether or not enrollment classifications will be used;
- Plot/Diagram the location of all competing schools;
- Begin by looking at geographic clusters of schools. Geography (including specific travel route information) is an important criteria for placement in groups (regions, districts, etc.), but is not the sole determinant for placement;
- Travel routes must be reviewed as a potential factor, as well as the identification of the existence of multiple travel routes;
- In the non-classified team sports (baseball, basketball, soccer, softball, volleyball), the basketball alignment should be an initial framework, specifically the region boundaries;
- If possible in the non-classified team sports, the district boundaries should be considered, but the level of participation of the schools in the district must be factor;
- The unique factors of each sport, particularly the individual sports, must be reviewed including facilities; and
- The participation and alignment history, both individual and teams, is a factor in consideration, but not the final determinant;

When reviewing alternative suggestions for alignments, the Board shall prioritize the requests from the membership that effect the requesting school over those suggestions that result from suggestions about other schools without effecting the requesting school.

The following factors are NOT to be considered:
- Past success or failure;
- Enrollment (in a non-classified sport);
- Socio-economic status and student body composition;
- Type of school (A1, D1, F1, J1, M1, R1); and
- Specific desires of coaches (input must be from administrative level).